

PRIVACY NOTICE

FACTS	WHAT DOES AURORA SECURITIES DO WITH YOUR PERSONAL INFORMATION?
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some, but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> ■ Social Security number, date of birth and income ■ Investment profile information like objective, experience, risk tolerance ■ Asset information, account transactions & performance, tax reporting,
How?	All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Aurora Securities chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Do we share?	Can you limit this sharing?
For our everyday business purposes— To process your transactions, maintain your account(s), respond to court orders and legal investigation, report to credit bureaus and provide related services, it is necessary for us to provide access to personal information with our affiliated companies and to certain non-affiliated companies that perform services for us.	Yes	No
For our affiliates business purposes— information about your credit worthiness.	No	We do not share
For our marketing purposes— to offer our products and services to you.	Yes	No
For affiliates to market to you— if you are not currently a customer.	Yes	Yes
For non-affiliates to market to you	No	We do not share
For joint marketing with other financial companies We will share your information with financial companies where an agreement exists between us to market financial products or services to you or as necessary to service your investments or accounts. However, if your registered representative is not affiliated with a particular financial company, and you have no account with them, we will not share your information for marketing without your consent.	Yes	No
For clients of independent registered representatives <ul style="list-style-type: none"> ■ At some point in the future, your financial professional may terminate his/her relationship with us and establish one with a new firm. We, or your financial professional, may disclose your personal information to the new firm. If you do not want this to occur when/if your financial professional terminates with us, you may request the sharing to be limited. If your primary address is in a state that requires your affirmative consent, (for example CA, MA, ME, NM, ND, VA) then you must give consent before we allow your personal information to be shared with the new firm. 	Yes	Yes

<p>For clients of independent registered representatives <i>(continued)</i></p> <ul style="list-style-type: none"> ■ If your financial professional sells all/part of his/her business, your personal information may be shared with the acquirer. ■ Your professional may own, control or be affiliated with other entities such as an insurance business, tax preparation business, accounting business or independent investment advisory firm and your personal information may be shared with these entities. 	Yes	Yes
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Who we are	
Who is providing this notice?	Aurora Securities, Inc. Our affiliates covered under this notice include Secure Asset Management, Inc.

What we do	
How does Aurora Securities protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We require companies that help us provide services to you to protect the personal information they receive.
How does Aurora Securities collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> ■ Open, apply-for, maintain an account or investment through us. ■ Communicate with your registered representative. <p>We also collect your personal information from others such as our affiliates, credit bureaus or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> ■ sharing for affiliates' everyday business purposes—information about your creditworthiness. ■ affiliates from using your information to market to you. ■ sharing for nonaffiliates to market to you. <p>State laws and individual companies may give you additional rights to limit sharing.</p>

To limit our sharing	<ul style="list-style-type: none"> ■ Call 248-414-1955 to speak with our Chief Compliance Officer ■ Email us at compliance@sassetmgmt.com <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>
Questions?	Call 248-414-1955 and/or go to www.aurorasecurities.com